UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2017-2019
SCHEDULED AUDITS - FY 2017

AUDIT ACTIVITY	BUDGET HOURS
MANDATORY (5,600 hours)	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Board of Regents Travel & Entertainment, FY 2017	200
Chancellor/President's Travel & Entertainment, FY 2017	200
Construction and Other Contracts Requiring Board of Regents Approval	500
Contract Administration - Food Service (System-wide)	600
Follow-up Reviews	500
Joint Admission Medical Program, FY 2016 (UHD)	100
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
HIGH PRIORITY (5,600 hours)	
Facilities Management (System-wide)	1,200
Financial Aid, Non-Title IV (System-wide)	1,200
Human Resources (System-wide)	1,200
Payroll (System-wide)	1,200
University Advancement (UH)	500
Information Technology (Co-Sourced)	300
PeopleSoft	
Information Technology General Controls - Research	
BSR Advance	
Single Sign-On Central Authentication	
Cyber Security Assessment	
OTHER (2,460 hours)	
Departmental Reviews (1,560 hours)	
UH University Advancement	100
UH University Marketing, Communications & Media Relations	100
UHCL Education	100
UHCL President's Office	100
UHD Administration & Finance	460
UHD Advancement & External Relations	100
UHD Employment Services & Operations	100
UHD President's Office	100
UHD Public Service	100
UHV Arts & Sciences	100
UHV Business Administration	100
UHV Education	100
Information Technology (900 hours)	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	400
INITIATED DURING FY 2016 - TO BE COMPLETED/REPORTED IN FY 2017	1,593
Total Hours Scheduled for Fiscal Year 2017	**15,253**

UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2017-2019
FY 2016 AUDITS IN PROGESS AT YEAR-END

AUDIT ACTIVITY	Budget Hours
Projects Initiated During FY 2016, But Not Completed	1,593

- Board of Regents Travel & Entertainment, FY 2016
- Chancellor/President's Travel & Entertainment, FY 2016
- Center for Advanced Computing & Data Systems, Follow-up
- Departmental Reviews
  - UH College of Education
  - UH Graduate College of Social Work
  - UH Conrad N. Hilton College of Hotel and Restaurant Management
  - UHD Academic & Student Affairs
  - UHD University College
  - UHV President's Office
- Endowments - UH Liberal Arts and Social Sciences
- Endowments - UH University Advancement
- General Accounting (All Components)
- Information Technology (Co-Sourced)
  - Physical Access
- Student Housing (UH and UHV)
- TAC 202, Information Security Standards (UH)

UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2017-2019
SCHEDULED AUDITS - FY 2018

AUDIT ACTIVITY	BUDGET HOURS
MANDATORY (6,100 hours)	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel & Entertainment, FY 2018	200
Chancellor/President's Travel & Entertainment, FY 2018	200
Construction and Other Contracts Requiring Board of Regents Approval	500
Contract Administration - Bookstore	600
Follow-up Reviews	500
Quality Assurance Reviews	
Internal Quality Assurance Review	100
External Quality Assurance Review	100
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
HIGH PRIORITY (4,400 hours)	
Budgeting (System-wide)	1,200
Financial Aid, Title IV (UHCL, UHD, UHV)	1,200
Purchasing (System-wide)	1,200
TBD	500
Information Technology (Co-Sourced)	300
Malware and Anti-Virus	
Blackboard	
OTHER (3,740 hours)	
Departmental Reviews (2,840 hours)	
UH C.T. Bauer College of Business	680
UH Cullen College of Engineering	460
University of Houston Libraries	100
UH College of Natural Sciences & Mathematics	520
UH Research	520
UH College of Technology	220
UHD Humanities & Social Sciences	340
Information Technology (900 hours)	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	400
INITIATED DURING FY 2017 - TO BE COMPLETED/REPORTED IN FY 2018	1,013
Total Hours Scheduled for Fiscal Year 2018	**15,253**

UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2017-2019
SCHEDULED AUDITS - FY 2019

AUDIT ACTIVITY	BUDGET HOURS
MANDATORY (5,900 hours)	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel & Entertainment, FY 2019	200
Chancellor/President's Travel & Entertainment, FY 2019	200
Construction and Other Contracts Requiring Board of Regents Approval	500
Contract Administration - TBD	600
Follow-up Reviews	500
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
HIGH PRIORITY (4,400 hours)	
Accounts Payable (System-wide)	1,200
Financial Aid, Scholarships (System-wide)	1,200
Property Management (System-wide)	1,200
TBD	500
Information Technology (Co-Sourced)	300
Disaster Recovery and Availability	
Third Party Risk Management	
External Vulnerability and Penetration Testing	
OTHER (3,880 hours)	
Departmental Reviews (2,980 hours)	
UH College of the Arts	200
UH Administration & Finance	640
UH Honors College	100
UH Law Center	160
UH College of Liberal Arts & Social Sciences	880
UH College of Pharmacy	100
UH Student Affairs & Enrollment Services	340
UHD Business	220
UHD Sciences & Technology	340
Information Technology (900 hours)	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	400
INITIATED DURING FY 2018 - TO BE COMPLETED/REPORTED IN FY 2019	1,073
Total Hours Scheduled for Fiscal Year 2019	**15,253**