## SCHEDULED AUDITS - FY 2020

### MANDATORY (5,420 hours)
- Annual External Audits - Liaison: 40 hours
- Annual Procurement Report: 100 hours
- Athletics - Football Attendance Audit: 100 hours
- Athletics - NCAA Rules-Compliance: 400 hours
- Board of Regents Travel & Entertainment, FY 2020: 200 hours
- Chancellor/President's Travel & Entertainment, FY 2020: 200 hours
- Construction and Other Contracts Requiring Board of Regents Approval: 500 hours
- Contract Monitoring - Licensing/Trademarks: 500 hours
- Follow-up Reviews: 400 hours
- Joint Admission Medical Program (UHD): 100 hours
- Special Projects/Police Investigations: 2,000 hours
- State Auditor's Office Liaison: 40 hours
- Audit Assistance - General: 40 hours
- Follow-up Reports: 40 hours
- State Benefits Proportionality, FY 2018-2019 (System-wide): 300 hours
- THECB Facilities Development Project Review: 500 hours

### HIGH PRIORITY (3,700 hours)
- Accounts Payable (System-wide): 1,200 hours
- Enrollment Management (System-wide): 1,200 hours
- Executive Travel: 600 hours
- Financial Aid, Designated Tuition Set Aside (System-wide): 600 hours
- Information Technology (Co-Sourced): 100 hours
  - Cybersecurity Follow-up/TAC 202
  - Education Resource Center
  - General Controls - Research

### OTHER (2,860 hours)
- Departmental Reviews (2,160 hours)
  - UH Gerald D. Hines College of Architecture: 100 hours
  - UH Athletics: 100 hours
  - UH Chancellor/President: 100 hours
  - UH College of Optometry: 100 hours
  - UH Texas Center for Superconductivity, Follow-up: 100 hours
  - UHCL Administration & Finance: 460 hours
  - UHCL Business: 100 hours
  - UHCL Human Sciences & Humanities: 100 hours
  - UHCL Science & Engineering: 100 hours
  - UHV Administration & Finance: 340 hours
  - UHV Student Affairs: 340 hours
  - UHV Provost and Enrollment Management: 220 hours
- Information Technology (900 hours)
  - Computer Assisted Auditing Techniques: 500 hours
  - IT - Review and Monitor of IT Systems (High Priority Projects): 200 hours

### INITIATED DURING FY 2019 - TO BE COMPLETED/REPORTED IN FY 2020
- 1,668 hours

### Total Hours Scheduled for Fiscal Year 2020
- 13,648 hours
UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2020-2022
FY 2019 AUDITS IN PROGRESS AT YEAR-END

AUDIT ACTIVITY

Projects Initiated During FY 2019, But Not Completed

<table>
<thead>
<tr>
<th>Budget</th>
<th>Hours</th>
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<tbody>
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<td>1,668</td>
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</table>

- Board of Regents Travel & Entertainment, FY 2019
- Chancellor/President's Travel & Entertainment, FY 2019
- Contract Administration (System-wide)
- Departmental Reviews
  - UH College of Liberal Arts & Social Sciences
  - UHD Business
  - UHD Sciences & Technology
- Facilities Management (System-wide)
- Financial Aid, Scholarships (System-wide)
- Information Technology (Co-Sourced)
  - External Vulnerability and Penetration Testing
  - Information Technology Governance
  - Third Party Risk Management
## SCHEDULED AUDITS - FY 2021

### MANDATORY (4,560 hours)
- Annual External Audits - Liaison 40 hours
- Annual Procurement Report 100 hours
- Athletics - Football Attendance Audit 100 hours
- Board of Regents Travel & Entertainment, FY 2021 200 hours
- Chancellor/President's Travel & Entertainment, FY 2021 200 hours
- Construction and Other Contracts Requiring Board of Regents Approval 500 hours
- Contract Monitoring - Academic Partnerships 500 hours
- Follow-up Reviews 400 hours
- Quality Assurance Reviews
  - Internal Quality Assurance Review 100 hours
  - External Quality Assurance Review 40 hours
- Special Projects/Police Investigations 2,000 hours
- State Auditor's Office Liaison 40 hours
- Audit Assistance - General 40 hours
- Follow-up Reports 40 hours
- State Benefits Proportionality, FY 2020 (System-wide) 300 hours

### HIGH PRIORITY (4,200 hours)
- Campus Card (System-wide) 500 hours
- Contracts and Grants Administration - COGR (System-wide) 1,200 hours
- Police Department (System-wide) 1,200 hours
- Title IX (System-wide) 1,200 hours
- Information Technology (Co-Sourced)
  - Education Resource Center 100 hours
  - PCI-DSS Compliance 100 hours
  - Security Event Monitoring 100 hours

### OTHER (3,280 hours)
- Departmental Reviews (2,580 hours)
  - UH Academic Affairs/Provost 340 hours
  - UH College of Education 160 hours
  - UH Graduate College of Social Work 100 hours
  - UH Conrad N. Hilton Hotel and Restaurant Management 100 hours
  - UH College of Medicine 200 hours
  - UH College of Nursing 100 hours
  - UHCL Provost's Office 640 hours
  - UHD Academic & Student Affairs 340 hours
  - UHD University College 220 hours
  - UHV President's Office 280 hours
  - UHV University Advancement & External Relations 100 hours

### Information Technology (900 hours)
- Computer Assisted Auditing Techniques 500 hours
- IT - Review and Monitor of IT Systems (High Priority Projects) 200 hours

### INITIATED DURING FY 2020 - TO BE COMPLETED/REPORTED IN FY 2021

**Total Hours Scheduled for Fiscal Year 2021**: 13,648 hours
### UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2020-2022
SCHEDULED AUDITS - FY 2022

#### MANDATORY (4,820 hours)
- Annual External Audits - Liaison: 40 hours
- Annual Procurement Report: 100 hours
- Athletics - Football Attendance Audit: 100 hours
- Athletics - NCAA Rules-Compliance: 400 hours
- Board of Regents Travel & Entertainment, FY 2022: 200 hours
- Chancellor/President's Travel & Entertainment, FY 2022: 200 hours
- Construction and Other Contracts Requiring Board of Regents Approval: 500 hours
- Contract Monitoring - Shuttle Bus Services: 500 hours
- Follow-up Reviews: 400 hours
- Special Projects/Police Investigations: 2,000 hours
- State Auditor's Office Liaison: 40 hours
  - Audit Assistance - General: 40 hours
  - Follow-up Reports: 40 hours
- State Benefits Proportionality, FY 2021 (System-wide): 300 hours

#### HIGH PRIORITY (4,800 hours)
- Parking (System-wide): 1,200 hours
- Payroll, Follow-up: 500 hours
- Property Management (System-wide): 1,200 hours
- Student Services (System-wide): 1,200 hours
- UH Purchasing: 600 hours
- Information Technology (Co-Sourced): 100 hours
  - Education Resource Center
  - Learning Management System
  - Single Sign-on Central Authentication

#### OTHER (2,120 hours)
- Departmental Reviews (1,420 hours)
  - UH University Advancement: 100 hours
  - UH University Marketing, Communications, and Media Relations: 100 hours
  - UHCL Education: 100 hours
  - UHCL President's Office: 100 hours
  - UHD Administration and Finance: 400 hours
  - UHD Employment Services & Operations: 100 hours
  - UHD President's Office and Advancement: 160 hours
  - UHV Arts & Sciences: 100 hours
  - UHV Business Administration: 160 hours
  - UHV Education: 100 hours
- Information Technology (900 hours)
  - Computer Assisted Auditing Techniques: 500 hours
  - IT - Review and Monitor of IT Systems (High Priority Projects): 200 hours

**INITIATED DURING FY 2021 - TO BE COMPLETED/REPORTED IN FY 2019**

-initiated during fy 2021 - to be completed/reported in fy 2019

**Total Hours Scheduled for Fiscal Year 2022**

13,648