Annals of Health Psychology
School of Social Work
Ontario Institute for Studies in Education
University of Toronto

Auditor:
Grant Thornton Limited
250 Bay Street
Suite 1500
Toronto, Ontario, Canada, M5J 2X3

Phone: 416-862-1000
Fax: 416-862-1001

Treasurer:
Roberta Puryear
Office of the Treasurer
University of Houston System
Ezekiel W. Cullen Building, Room 2
Mail Code: TREAS 2009
P.O. Box 988
Houston, Texas 77001-0988

Investment information:
Investment questions should be directed to:
Roberta Puryear, Treasurer
Phone: 713-743-8780
Fax: 713-743-8795
rdpuryea@central.uh.edu
Mail Code: TREAS 2009
Building: Ezekiel W. Cullen Building, Room 2
P.O. Box 988
Houston, Texas 77001-0988

University of Houston System
Office of the Treasurer
Annual Investment Information Disclosure
Fiscal Year 2016
In accordance with Chapter 2.3 of the State Auditor’s Office Report No. 02-058 and as further clarified in procedures adopted in 2012, the following information is submitted:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution contracts with an investment consultant, Cambridge Associates in Dallas, Texas, to provide investment consulting advice, but they do not have discretionary authority. Yes, the institution contracts with outside investment managers through various investment vehicles including separate accounts, commingled funds, limited partnerships, etc. They have authority to make investment decisions without obtaining prior approval. As it relates to separate accounts, such managers make these decisions within the investment policy guidelines. The investment managers with whom the institution has funds invested as of fiscal year end 2016 are as follows:

Separately Managed Accounts:

Columbia Management
One Financial Center
Boston, MA 02111-2621

Institutional Capital LLC
225 West Wacker Drive, Suite 2400
Chicago, IL 60606

Franklin Templeton Investments
One Franklin Parkway
San Mateo, CA 94403

JPMorgan Asset Management
245 Park Ave. 5th Floor
New York, NY 10167

Dreyfus Cash Management Funds
877-334-6889

Morgan Stanley Fund Services
800-236-0992
Luther King Capital Management
301 Commerce Street, Suite 1600
Ft. Worth, TX 76102

TexPool Participant Services
C/O Federated Investors Inc
1001 Texas Ave, Suite 1400
Houston, Texas 77002

Wells Fargo Bank
625 Marquette Ave, 11th Floor
Minneapolis, MN  55402-2308

Mayo Investment Advisers LLC
30 Rowes Wharf, Suite 500
Boston, MA 02110

Smith Graham & Company
6900 Chase Tower
600 Travis Street
Houston, TX 77002-3007

State Street Global Advisors
One Lincoln Street, SFC/33
Boston, MA 02111-2900

Van Eck Global Hard Asset Fund
335 Madison Avenue, 19th Floor
New York City, NY  10017

Vulcan Value Partners
Three Protective Center
2801 Highway 280 South, Suite 300
Birmingham, AL  35223

**Other than Separate Accounts:**

Advent International Corporation
75 State Street
Boston, MA 02109

Anchorage Capital Partners Offshore, Ltd.
c/o International Fund Services (Ireland) Limited
78 Sir John Rogerson’s Quay
Dublin 2
Ireland
AQR Capital Management LLC
Two Greenwich Plaza
Greenwich, CT  06830

Blackstone Group L.P.
345 Park Avenue, 16th Floor
New York, NY  10154

Brahman Capital Corp.
655 3rd Avenue, 11th Floor
New York City, NY  10017

Coatue Offshore Fund, Ltd.
c/o Morgan Stanley Fund Services (Ireland) Limited
P.O. Box 15000
The Observatory
7-11 Sir John Rogerson’s Quay
Dublin 2
Ireland

Bank of America Corporation
100 Federal Street
Boston, MA 02110

Commonfund Capital
15 Old Danbury Road
Wilton, CT 06897-0812

Cougar Investment Fund
Department of Finance
C.T. Bauer College of Business
University of Houston
Houston, TX 77204-6021

Commonfund Capital, Inc.
15 Old Danbury Road,
P.O. Box 812
Wilton, CT  06897-0812
Covalent Capital Partners (Offshore), L.P.
c/o AlphaMetrix360, LLC (Cayman)
Piccadilly Center, 3rd Floor
P.O. Box 10243
Grand Cayman, Cayman Islands KY1-003

Davidson Kempner Institutional Partners, L.P.
885 Third Avenue, Suite 3300
New York, NY 10022

Denham Commodity Partners Fund VI-A L.P.
c/o Latham & Watkins LLP
555 Eleventh Street, NW, Suite 1000
Washington, DC 20004

Denham Oil and Gas Fund L.P.
185 Dartmouth Street, 7th Floor
Boston, MA 02116

Doddington Emerging Markets Fund, LLC
c/o Meteora Partners LLC
11 Broadway, Suite 965,
New York, NY 10004

Dover Street VIII Associates L.P.
c/o HarbourVest Partners, LLC
One Financial Center
Boston, Massachusetts 02111

Dreyfus
144 Glenn Curtis Boulevard
Uniondale, NY 11556-0144

Embarcadero Realty Services L.P.
1301 Shoreway Road, Suite 250
Belmont, CA 94002

EnCap Energy Capital Fund VII-B, L.P.
1100 Louisiana Street, Suite 3150
Houston, Texas 77002

EnCap Energy Capital Fund X, L.P.
1100 Louisiana Street, Suite 4900
Houston, Texas 77002
EnCap Flatrock Midstream Fund  
1826 N. Loop 1604 W., Suite 200  
San Antonio, Texas 78248

EnerVest, Ltd  
First City Tower  
1001 Fannin, Suite 800  
Houston, Texas 77012

Equus Capital Partners, Ltd.  
3000 Centre Square West  
1500 Market Square  
Philadelphia, PA 19102

Fisher Lynch  
One International Place  
Boston, MA 02110

Great Hill Partners LLC  
One Liberty Square  
Boston, MA 02109

Francisco Partners  
One Letterman Drive, Building C, Suite 410  
San Francisco, CA 94129

Gotham Hedged Value Strategies (International) SPC, Ltd.  
c/o SS&C Technologies  
80 Lamberton Road  
Windsor, CT 06095

Great Hill Partners V, L.P.  
One Liberty Square  
Boston, MA 02109

HBK Offshore Fund L.P.  
c/o Hedgeserv  
75 St. Stephen’s Green  
Dublin 2 Ireland
Highline Capital International, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd.
The Observatory
7-11 Sir John Rogerson’s Quay
Dublin 2
Ireland

Insight Equity III, L.P.
1400 Civic Place, Suite 250
Southlake, Texas 76092

ISAM Systematic Trend SPV Limited
c/o Northern Trust International Fund Administration Svs (Ireland) Ltd.
George’s Court
54-62 Townsend Street
Dublin 2 Ireland

Jackson Square LLC
727 Sansome St., 3rd Floor
San Francisco, CA 94111

J.H. Whitney VII, L.P.
c/o J.H. Whitney Capital Partners, LLC
130 Main Street
New Canaan, CT 06840

JOHCM Asia Ex-Japan Equity Fund
1055 Westlakes Drive, Suite 310
Berwyn, PA 19312

Lexington Capital Partners VIII, L.P.
111 Huntington Ave., Suite 3020
Boston, MA 02199

LiveOak Venture Partners
3209 Lating Stream Lane
Austin, TX 78746

Marble Arch Offshore Partners, Ltd.
c/o State Street Administration Services Company (Ireland) Limited
78 Sir John Rogerson’s Quay
Dublin 2 Ireland
Mason Capital Management, LLC.
110 East 59th Street, 30th Floor
New York, NY 10022

Morgan Stanley Investment Management
One Tower Bridge
100 Front Street, Suite 1100
West Conshohocken, PA 19428

Newlin Realty Partners, LP
502 East Washington Avenue, Suite 2-D
Newtown, PA 18940

Northern Trust Asset Management
181 W. Madison
Chicago IL, 60603

PFM Diversified Offshore Fund, Ltd.
c/o Goldman Sachs Administration Services (Canada) Co.
Royal Trust Tower
77 King Street West, Suite 3400
P.O. Box 38, Toronto – Dominion Centre
Toronto, Ontario M5K 1B7
Canada

Proxima Capital Offshore Fund, Ltd.
845 Third Avenue, 21st Floor
New York City, NY 10022

Senator Global Opportunity Offshore Fund Ltd.
Citco Fund Services (Cayman Islands) Limited
89 Nexus Way
Camana Bay
P.O. Box 31106
Grand Cayman KY1-1205
Cayman Islands

Sheffield International Partners, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd.
The Observatory
7-11 Sir John Rogerson’s Quay
Dublin 2, Ireland
Silchester International Investors  
780 Third Avenue, 42nd Floor  
New York, NY 10017

Silver Lake Partners IV, L.P.  
c/o Simpson Thacher & Bartlett LLP  
425 Lexington Avenue  
New York, NY 10017

Somerset Global Emerging Markets Fund LLC  
c/o Meteora Partners LLC  
11 Broadway, Suite 965  
New York, NY 10004, USA

SRS Partners, Ltd.  
Citco Fund Services (Cayman Islands) Limited  
c/o Citco (Canada) Inc.  
2 Bloor Street East, 27th Floor  
Toronto, ON M4W 1A8  
Canada

Standard Life Investments  
One Beacon Street, 34th Floor  
Boston, MA 02108

Sustainable Woodlands Fund, LP  
4265 San Felipe, Suite 900  
Houston, TX 77027

TrueBridge-Kauffman  
1350 Environ Way  
Chapel Hill, NC 27517

SV Life Sciences Advisors LLC  
One Boston Place, Suite 3900  
Boston, MA 02109

Wellington Trust Company, NA  
280 Congress Street  
Boston, MA 02210
2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of arrangements. Yes, the institution has a commission recapture program that includes a network of equity brokers. Managers of separately managed equity accounts are requested, to the extent possible and on a best efforts basis, to direct up to 25% of the annual trades through the network of equity brokers. The commission rate paid on a commission recapture transaction shall, to the extent practical, be no different than the rate an investment manager would normally pay on transactions done via a similar execution venue. Block trading orders shall not be precluded from trading in the commission recapture program.

3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment’s or foundation’s investments. Following is the name and contact at each foundation and the market value of its investments as of June 31, 2016, the August 31 numbers were not available at the time of this report.

- **Foundation for Education and Research in Vision**
  - emauzy@optometry.uh.edu
  - Attn: Elizabeth Mauzy #713-743-1795
  - College of Optometry
  - Houston, TX 77204-2020
  - Market Value of Endowment/Investments: $3,836,495.97
    (MV as of June 30, 2016. August 31 number not available at the time of this report.)

- **Houston Alumni Association**
  - scmuelle@Central.UH.EDU
  - Attn: Steve Mueller # 713-743-8190
  - 5000 Gulf Freeway, Building 1
  - Houston, TX 77204
  - Market Value of Endowment/Investments: $6,812,384.24
    (MV as of June 30, 2016. August 31 number not available at the time of this report.)

- **Houston Athletics Foundation**
  - LHTEX1@airmail.com
  - Attn: Les Haulbrook #713-358-2051
  - Address: 3100 Cullen, Suite 2004
  - Houston, Texas 77204-6004
  - Market Value of Endowment/Investments: 3,060,414.66
    (MV as of June 30, 2016. August 31 number not available at the time of this report.)
UH College of Business Foundation
Attn: Aracely Villalpando #713-743-4633
334 Melcher Hall
Houston, TX 77204-6021
Market Value of Endowment/Investments: $34,568,049.89
(MV as of June 30, 2016. August 31 number not available at the time of this report.)

UH Foundation
Attn: Terrylin G. Neale #713-622-6061
P.O. Box 27405
Houston, TX 77227-7405
Market Value of Endowment/Investments: $110,613,156.86
(MV as of June 30, 2016. August 31 number not available at the time of this report.)

UH Law Foundation
Attn: Helen Wang #713-743-3742
100 Law Center
Houston, TX 77204-6371
Market Value of Endowment/Investments: $22,832,416.00
(MV as of June 30, 2016. August 31 number not available at the time of this report.)