Annual Investment Information Disclosure

Fiscal Year 2017
In accordance with Chapter 2.3 of the State Auditor’s Office Report No. 02-058 and as further clarified in procedures adopted in 2012, the following information is submitted:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution contracts with an investment consultant, Cambridge Associates in Dallas, Texas, to provide investment consulting advice, but they do not have discretionary authority. Yes, the institution contracts with outside investment managers through various investment vehicles including separate accounts, commingled funds, limited partnerships, etc. They have authority to make investment decisions without obtaining prior approval. As it relates to separate accounts, such managers make these decisions within the investment policy guidelines. The investment managers with whom the institution has funds invested as of fiscal year end 2017 are as follows:

**Separately Managed Accounts:**

Columbia Management  
One Financial Center  
Boston, MA 02111-2621

Bank of America  
100 Federal Street  
Boston, MA 02110

JPMorgan Asset Management  
245 Park Ave. 5th Floor  
New York, NY 10167

Bayersche Landesbank  
500 Lexington Ave  
New York, NY 10022

Dreyfus Cash Management Funds  
877-334-6889

Morgan Stanley Fund Services  
800-236-0992
TexPool Participant Services  
C/O Federated Investors Inc  
1001 Texas Ave, Suite 1400  
Houston, Texas 77002

Wells Fargo Bank  
625 Marquette Ave, 11th Floor  
Minneapolis, MN 55402-2308

Smith Graham & Company  
6900 Chase Tower  
600 Travis Street  
Houston, TX 77002-3007

Van Eck Global Hard Asset Fund  
335 Madison Avenue, 19th Floor  
New York City, NY 10017

Vulcan Value Partners  
Three Protective Center  
2801 Highway 280 South, Suite 300  
Birmingham, AL 35223

**Other than Separate Accounts:**

Advent International Corporation  
75 State Street  
Boston, MA 02109

Anchorage Capital Partners Offshore, Ltd.  
c/o International Fund Services (Ireland) Limited  
78 Sir John Rogerson’s Quay  
Dublin 2  
Ireland

AQR Capital Management LLC  
Two Greenwich Plaza  
Greenwich, CT 06830

Binary Capital  
1550 Bryant Street, Suite 700  
San Francisco, CA 94103
Blackstone Group L.P.
345 Park Avenue, 10th Floor
New York, NY 10154

Brahman Capital Corp.
655 3rd Avenue, 11th Floor
New York City, NY 10017

Coatue Offshore Fund, Ltd.
c/o Morgan Stanley Fund Services (Ireland) Limited
P.O. Box 15000
The Observatory
7-11 Sir John Rogerson’s Quay
Dublin 2
Ireland

Cougar Investment Fund
Department of Finance
C.T. Bauer College of Business
University of Houston
Houston, TX 77204-6021

Commonfund Capital, Inc.
15 Old Danbury Road,
P.O. Box 812
Wilton, CT 06897-0812

Davidson Kempner Institutional Partners, L.P.
885 Third Avenue, Suite 3300
New York, NY 10022

Denham Commodity Partners Fund VI-A L.P.
c/o Latham & Watkins LLP
555 Eleventh Street, NW, Suite 1000
Washington, DC 20004

Denham Oil and Gas Fund L.P.
185 Dartmouth Street, 7th Floor
Boston, MA 02116

Doddington Emerging Markets Fund, LLC
c/o Meteora Partners LLC
11 Broadway, Suite 965,
New York, NY 10004
Highline Capital International, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd.
The Observatory
7-11 Sir John Rogerson’s Quay
Dublin 2
Ireland

Insight Equity III, L.P.
1400 Civic Place, Suite 250
Southlake, Texas  76092

ISAM Systematic Trend SPV Limited
c/o Northern Trust International Fund Administration Svs (Ireland) Ltd.
George’s Court
54-62 Townsend Street
Dublin 2 Ireland

Jackson Square LLC
727 Sansome St., 3rd Floor
San Francisco, CA 94111

J.H. Whitney VII, L.P.
c/o J.H. Whitney Capital Partners, LLC
130 Main Street
New Canaan, CT 06840

JOHCM Asia Ex-Japan Equity Fund
1055 Westlakes Drive, Suite 310
Berwyn, PA  19312

Lexington Capital Partners VIII, L.P.
111 Huntington Ave., Suite 3020
Boston, MA 02199

LiveOak Venture Partners
3209 Lating Stream Lane
Austin, TX 78746

Marble Arch Offshore Partners, Ltd.
c/o State Street Administration Services Company (Ireland) Limited
78 Sir John Rogerson’s Quay
Dublin 2 Ireland
Somerset Global Emerging Markets Fund LLC
c/o Meteora Partners LLC
11 Broadway, Suite 965
New York, NY 10004, USA

SRS Partners, Ltd.
Citco Fund Services (Cayman Islands) Limited
c/o Citco (Canada) Inc.
2 Bloor Street East, 27th Floor
Toronto, ON M4W 1A8
Canada

Standard Life Investments
One Beacon Street, 34th Floor
Boston, MA 02108

Sustainable Woodlands Fund, LP
4265 San Felipe, Suite 900
Houston, TX 77027

TrueBridge-Kauffman
1350 Environ Way
Chapel Hill, NC 27517

SV Life Sciences Advisors LLC
One Boston Place, Suite 3900
Boston, MA 02109

Wellington Trust Company, NA
280 Congress Street
Boston, MA 02210

Weathergage Capital
820 Ramona Street
Suite 100
Palo, Alto, CA 94301

William Blair Funds
222 West Adams Street
Chicago, IL 60606

Whippoorwill Associates, Inc.
11 Martine Ave
White Plains, NY 10606
2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of arrangements. Yes, the institution has a commission recapture program that includes a network of equity brokers. Managers of separately managed equity accounts are requested, to the extent possible and on a best efforts basis, to direct up to 25% of the annual trades through the network of equity brokers. The commission rate paid on a commission recapture transaction shall, to the extent practical, be no different than the rate an investment manager would normally pay on transactions done via a similar execution venue. Block trading orders shall not be precluded from trading in the commission recapture program.

3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment’s or foundation’s investments. Following is the name and contact at each foundation and the market value of its investments as of June 30, 2017, the August 31 numbers were not available at the time of this report.

Foundation for Education and Research in Vision  emauzy@optometry.uh.edu
Attn: Elizabeth Mauzy #713-743-1795
College of Optometry
Houston, TX 77204-2020
Market Value of Endowment/Investments: $4,212,447
(MV as of June 30, 2017. August 31 number not available at the time of this report.)

Houston Alumni Association  scmuelle@Central.UH.EDU
Attn: Steve Mueller # 713-743-8190
5000 Gulf Freeway, Building 1
Houston, TX 77204
Market Value of Endowment/Investments: $7,365,916
(MV as of June 30, 2017. August 31 number not available at the time of this report.)

Houston Athletics Foundation  LHTEX1@airmail.com
Attn: Les Haulbrook #713-358-2051
Address: 3100 Cullen, Suite 2004
Houston, Texas 77204-6004
Market Value of Endowment/Investments: $3,449,755.17
(MV as of June 30, 2017. August 31 number not available at the time of this report.)
UH College of Business Foundation
Attn: Aracely Villalpando #713-743-4633
334 Melcher Hall
Houston, TX 77204-6021
Market Value of Endowment/Investments: $38,178,594
(MV as of June 30, 2017. August 31 number not available at the time of this report.)

UH Foundation
Attn: Terrylin G. Neale #713-622-6061
P.O. Box 27405
Houston, TX 77227-7405
Market Value of Endowment/Investments: $129,433,249
(MV as of June 30, 2017. August 31 number not available at the time of this report.)

UH Law Foundation
Attn: Helen Wang #713-743-3742
100 Law Center
Houston, TX 77204-6371
Market Value of Endowment/Investments: $25,301,079
(MV as of June 30, 2017. August 31 number not available at the time of this report.)