UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Audit & Compliance

ITEM: Institutional Compliance Status Report for the Three Months Ended September 30, 2011

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The Institutional Compliance Status Report summarizes the information provided by each institution for their respective compliance functions.

- Summary of Activities: risk assessment, audits, meetings, risk mitigation, and hot-line reports (page 1)
- University of Houston System: summary of July 13, 2011, meeting of Institutional Compliance Officers and changes in laws and regulations (page 1)
- University of Houston: compliance activities of Finance Department, Environmental Health and Safety Department, Residential Life & Housing, Division of Research, and Information Technology Department (pages 2-6)
- University of Houston Clear Lake: summary of compliance meeting held on August 11, 2011 (pages 6-7)
- University of Houston Downtown: summary of compliance meeting held on September 14, 2011, and Information Technology compliance activities (pages 7-9)
- University of Houston Victoria: summary of compliance meeting held August 31, 2011 (pages 9-10)

SUPPORTING DOCUMENTATION: Institutional Compliance Status Report

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Information

COMPONENT: University of Houston System

Don Guyton 10/27/11

CHIEF AUDIT EXECUTIVE Don Guyton **DATE**

Renu Khator 11/1/11

CHANCELLOR Renu Khator **DATE**