UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Audit & Compliance

ITEM: Report on Internal Audit Report – Briefing Booklet

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The Internal Audit Briefing Booklet contains an Internal Audit Activity Outline and Internal Audit Reports issued since the August 17, 2011, Audit & Compliance Committee Meeting of the Board of Regents. This booklet includes Activity Outline/Audit Plan Status and the Executive Summaries, Summary of Recommendations by Area, and Management Action Plans of the following Internal Audit Reports with risk levels ranked High/Medium/Low:

- AR2012-01 Follow-up Status Report
  - Identifies one high risk item that is partially implemented related to the business functions in the UH Student Affairs Division
- AR2012-02 Construction Award Status Report
- AR2012-03 UH College of Engineering, Endowments
- AR2012-04 UH College of Hotel & Restaurant Management, Departmental Review
- AR2012-05 UHS Board of Regents' Travel, FY 2011
- AR2012-06 UHS/UH Chancellor/President's Travel, FY 2011
- AR2012-07 UH Graduate College of Social Work, Departmental Review
- AR2012-08 Annual Non-Compliance Report, FY 2011

The Internal Audit Reports included in this booklet will be filed with the Governor's Office of Budget and Planning, the Legislative Budget Board, the State Auditor, and the Sunset Advisory Committee within the next 30 days, as required by the Texas Government Code, Section 2102.0091.

SUPPORTING DOCUMENTATION: Internal Audit Briefing Booklet (under separate cover)

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Information

COMPONENT: University of Houston System

Don Guyton 10/27/11

CHIEF AUDIT EXECUTIVE Don Guyton **DATE**

Renu Khator 11/1/11

CHANCELLOR Renu Khator **DATE**