

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2018-2020
SCHEDULED AUDITS - FY 2018**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>MANDATORY (6,100 hours)</u>	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Benefits Proportionality, FY 2015 - 2017 (System-wide)	500
Board of Regents Travel & Entertainment, FY 2018	200
Chancellor/President's Travel & Entertainment, FY 2018	200
Construction and Other Contracts Requiring Board of Regents Approval	500
Contract Administration - Bookstore	600
Follow-up Reviews	500
Quality Assurance Reviews	
Internal Quality Assurance Review	100
External Quality Assurance Review	100
Special Projects/Police Investigations	2,500
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
<u>HIGH PRIORITY (3,900 hours)</u>	
Budgeting (System-wide)	1,200
Financial Aid, Title IV (UHCL, UHD, UHV)	1,200
Purchasing (System-wide)	1,200
Information Technology (Co-Sourced)	300
Effort Reporting	
External Vulnerability and Penetration Testing	
PCI-DSS Compliance	
<u>OTHER (3,840 hours)</u>	
Departmental Reviews (2,940 hours)	
UH C.T. Bauer College of Business	680
UH Cullen College of Engineering	460
University of Houston Libraries	100
UH College of Natural Sciences & Mathematics	520
UH Research	520
UH College of Technology	220
UHD Humanities & Social Sciences	340
UHD Public Service	100
Information Technology (900 hours)	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	400
<u>INITIATED DURING FY 2017 - TO BE COMPLETED/REPORTED IN FY 2018</u>	1,413
Total Hours Scheduled for Fiscal Year 2018	<u>15,253</u>

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2018-2020
FY 2017 AUDITS IN PROGRESS AT YEAR-END**

**Budget
Hours**

AUDIT ACTIVITY

Projects Initiated During FY 2017, But Not Completed

1,413

Board of Regents Travel & Entertainment, FY 2017
Chancellor/President's Travel & Entertainment, FY 2017
Contract Administration - Food Services
Facilities Management
Financial Aid, Non-Title IV
General Accounting
Human Resources
Payroll
Student Housing (UH and UHV)

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INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2018-2020
SCHEDULED AUDITS - FY 2019**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>MANDATORY (5,900 hours)</u>	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel & Entertainment, FY 2019	200
Chancellor/President's Travel & Entertainment, FY 2019	200
Construction and Other Contracts Requiring Board of Regents Approval	500
Contract Administration - Pouring Rights	600
Follow-up Reviews	500
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
<u>HIGH PRIORITY (3,900 hours)</u>	
Accounts Payable (System-wide)	1,200
Financial Aid, Scholarships (System-wide)	1,200
Property Management (System-wide)	1,200
Real Estate Services	400
Information Technology (Co-Sourced)	300
Information Technology Governance	
Third Party Risk Management	
Disaster Recovery and Availability	
<u>OTHER (3,880 hours)</u>	
Departmental Reviews (2,980 hours)	
UH College of the Arts	200
UH Administration & Finance	640
UH Honors College	100
UH Law Center	160
UH College of Liberal Arts & Social Sciences	880
UH College of Pharmacy	100
UH Student Affairs & Enrollment Services	340
UHD Business	220
UHD Sciences & Technology	340
Information Technology (900 hours)	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	400
<u>INITIATED DURING FY 2018 - TO BE COMPLETED/REPORTED IN FY 2019</u>	1,173
Total Hours Scheduled for Fiscal Year 2019	<u>15,253</u>

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2018-2020
SCHEDULED AUDITS - FY 2020**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>MANDATORY (5,900 hours)</u>	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel & Entertainment, FY 2020	200
Chancellor/President's Travel & Entertainment, FY 2020	200
Construction and Other Contracts Requiring Board of Regents Approval	500
Contract Administration - Licensing/Trademarks	600
Follow-up Reviews	500
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
<u>HIGH PRIORITY (5,100 hours)</u>	
Enrollment Management (System-wide)	1,200
Financial Aid, Designated Tuition Set Aside (System-wide)	1,200
Campus Card (System-wide)	1,200
Police Departments (System-wide)	1,200
Information Technology (Co-Sourced)	300
Information Technology General Controls - Research	
System Development Life Cycle	
Malware and Antivirus	
Learning Management System	
<u>OTHER (2,960 hours)</u>	
Departmental Reviews (2,060 hours)	
UH Gerald D. Hines College of Architecture	100
UH Athletics	100
UH Chancellor/President	100
UH College of Optometry	100
UHCL Administration & Finance	460
UHCL Business	100
UHCL Human Sciences & Humanities	100
UHCL Science & Engineering	100
UHV Administration & Finance	340
UHV Student Affairs	340
UHV Provost and Enrollment Management	220
Information Technology (900 hours)	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	400
<u>INITIATED DURING FY 2019 - TO BE COMPLETED/REPORTED IN FY 2020</u>	1,293
Total Hours Scheduled for Fiscal Year 2020	<u>15,253</u>