



Report to the Audit and Compliance Committee of
the UHS Board of Regents on:

TEXAS EDUCATION CODE §51.9337 COMPLIANCE
AR2023-15

UNIVERSITY of **HOUSTON** SYSTEM

INTERNAL AUDITING DEPARTMENT

UNIVERSITY OF HOUSTON

TEXAS EDUCATION CODE §51.9337 COMPLIANCE

Overview and Scope Summary

Texas Education Code §51.9337 was added with the passing of Senate Bill 20 during the Texas 84th Legislature and became effective September 1, 2015.

Texas Education Code §51.9337 requires the chief auditor of an institution of higher education to annually assess whether the institution has adopted the rules and policies required by this section and shall submit a report of findings to the state auditor. This code requires the System to establish policies and procedures for:

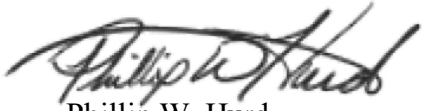
- Code of ethics
- Code of conduct
- Conflict of interest
- Investigations
- Purchasing requirements and guidelines
- Contracting requirements and guidelines
- Standards for conducting audits

Observations and Overall Audit Opinion

The Internal Auditing Department evaluated University of Houston System policies for compliance with the requirements contained in Texas Education Code §51.9337. The University of Houston System has established policies and procedures that comply with Texas Education Code §51.9337.

The UHS Department of Internal Auditing would like to thank the UHS Universities for their cooperation and assistance during this review.

Sincerely,

A handwritten signature in black ink, appearing to read "Phillip W. Hurd". The signature is fluid and cursive, with the first name being the most prominent.

Phillip W. Hurd
Chief Audit Executive
University of Houston System

Participating Auditors: Brandee O'Neal, Kim Gerry and Edel Rodriguez