University of Houston System
Office of the Treasurer

Annual Investment Information Disclosure

Fiscal Year 2022
In accordance with Chapter 2.3 of the State Auditor’s Office Report No. 02-058 and as further clarified in procedures adopted in 2012, the following information is submitted:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution contracts with an investment consultant, NEPC in Chicago, Illinois, to provide investment consulting advice, but they do not have discretionary authority. Yes, the institution contracts with outside investment managers through various investment vehicles including separate accounts, commingled funds, limited partnerships, etc. They have authority to make investment decisions without obtaining prior approval. As it relates to separate accounts, such managers make these decisions within the investment policy guidelines. The investment managers with whom the institution has funds invested as of fiscal year end 2022 are as follows:

**Separately Managed Accounts:**

Columbia Management  
One Financial Center  
Boston, MA 02111-2621

Bank of America  
100 Federal Street  
Boston, MA 02110

JPMorgan Asset Management  
245 Park Ave. 5th Floor  
New York, NY 10167

Bayersche Landesbank  
500 Lexington Ave  
New York, NY 10022

Breckinridge Capital Advisors  
125 High Street,  
Boston, MA 02110
Loomis Sayles  
617-535-5342

Dreyfus Cash Management Funds  
877-334-6889

Morgan Stanley Fund Services  
800-236-0992

TexPool Participant Services  
C/O Federated Investors Inc  
1001 Texas Ave, Suite 1400  
Houston, Texas 77002

Wells Fargo Bank  
625 Marquette Ave, 11th Floor  
Minneapolis, MN 55402-2308

Pimco Investors  
1633 Broadway  
New York City, NY 10019

Smith Graham & Company  
6900 Chase Tower  
600 Travis Street  
Houston, TX 77002-3007

T. Rowe Price  
100 East Pratt Street  
Baltimore, Maryland 21202-1009

Vanguard  
100 Vanguard Blvd  
Malvern, PA 19355

Vulcan Value Partners  
Three Protective Center  
2801 Highway 280 South, Suite 300  
Birmingham, AL 35223

**Other than Separate Accounts:**

400 Capital Management LLC  
510 Madison Avenue, 17th floor  
New York, NY 10022
Advent International Corporation  
75 State Street  
Boston, MA 02109  

ACME Capital  
800 Market Street, 8th Floor  
San Francisco, CA 94102  

Arrowstreet Capital, Limited Partnership  
200 Clarendon Street, 30th Floor  
Boston, MA 02116  

ASF  
Aztec Group House 11-15 Seaton Place  
St. Helier JE40QH  

Binary Capital  
1550 Bryant Street, Suite 700  
San Francisco, CA 94103  

Blackstone Group L.P.  
345 Park Avenue, 10th Floor  
New York, NY 10154  

Clear Ventures Partners LLC  
2209 El Camino Real  
Palo Alto, CA 94306  

Cougar Investment Fund  
Department of Finance  
C.T. Bauer College of Business  
University of Houston  
Houston, TX 77204-6021  

Commonfund Capital, Inc.  
15 Old Danbury Road,  
P.O. Box 812  
Wilton, CT 06897-0812  

Dalfen Industrial  
17304 Preston Road #550  
Dallas, TX 75252  

Davidson Kempner Institutional Partners, L.P.  
885 Third Avenue, Suite 3300  
New York, NY 10022
GCM Grosvenor Management
900 North Michigan Ave. Suite 1100
Chicago, IL  60611

Great Hill Partners LLC
One Liberty Square
Boston, MA 02109

Global Alpha International
223 Wilmington West Chester Pike, Suite 303
Chadds Ford, PA  19317

Great Point Partners LLC
165 Mason Street, Floor 3
Greenwich, CT  06830

Hastings Equity
4203 Montrose Boulevard Suite 350
Houston, TX  77006

HBK Offshore Fund L.P.
c/o Hedgeserv
75 St. Stephen’s Green
Dublin 2 Ireland

Insight Equity III, L.P.
1400 Civic Place, Suite 250
Southlake, Texas 76092

Jackson Square LLC
727 Sansome St., 3rd Floor
San Francisco, CA 94111

J.H. Whitney VII, L.P.
c/o J.H. Whitney Capital Partners, LLC
130 Main Street
New Canaan, CT 06840

Kelso and Company
320 Park Avenue, 24th Floor
New York City, NY  10022

Lexington Capital Partners VIII, L.P.
111 Huntington Ave., Suite 3020
Boston, MA  02199
LiveOak Venture Partners  
3209 Lating Stream Lane  
Austin, TX 78746

Lord Abbett  
90 Hudson Street  
Jersey City, NJ. 07302

Morgan Stanley Investment Management  
One Tower Bridge  
100 Front Street, Suite 1100  
West Conshohocken, PA 19428

Mercury Fund LP  
3737 Buffalo Speedway  
Houston, Texas 77098

Newlin Realty Partners, LP  
502 East Washington Avenue, Suite 2-D  
Newtown, PA 18940

Northern Trust Asset Management  
181 W. Madison  
Chicago IL, 60603

Doddington Management Company LLC  
11 Broadway, Suite 965  
New York City, NY 10004

Peakspan Capital  
1242 Chapin Ave.  
Burlingame, CA 94010

Penzance  
2400 N Street, N.W.  
Suite 600  
Washington D.C. 20037

Silchester International Investors  
780 Third Avenue, 42nd Floor  
New York, NY 10017

Silver Lake Partners IV, L.P.  
c/o Simpson Thacher & Bartlett LLP  
425 Lexington Avenue  
New York, NY 10017
Stone Point Capital LLC
20 Horseneck Lane
Greenwich, CT 06830

SRS Partners, Ltd.
Citco Fund Services (Cayman Islands) Limited
c/o Citco (Canada) Inc.
2 Bloor Street East, 27th Floor
Toronto, ON M4W 1A8
Canada

SV Life Sciences Advisors LLC
One Boston Place, Suite 3900
Boston, MA 02109

TrueBridge-Kauffman
1350 Environ Way
Chapel Hill, NC 27517

Thoma Bravo LLC
600 Montgomery Street 20th Floor
San Francisco, CA 94111

US Venture Partners
1460 El Camino Real, Suite 100
Menlo Park, CA 94025

Vivo Ventures
575 High Street, Suite 201
Palo Alto, CA 94301

Weathergage Capital
820 Ramona Street
Suite 100
Palo, Alto, CA 94301

William Blair Funds
222 West Adams Street
Chicago, IL 60606

Windrose Partners
320 Park Ave., 33rd Floor
New York, NY 10022
2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of arrangements. Yes, the institution has a commission recapture program that includes a network of equity brokers. Managers of separately managed equity accounts are requested, to the extent possible and on a best efforts basis, to direct up to 25% of the annual trades through the network of equity brokers. The commission rate paid on a commission recapture transaction shall, to the extent practical, be no different than the rate an investment manager would normally pay on transactions done via a similar execution venue. Block trading orders shall not be precluded from trading in the commission recapture program.

3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment’s or foundation’s investments. Following is the name and contact at each foundation and the market value of its investments as of June 30, 2022, was $235,436,509.

Foundation for Education and Research in Vision  
Attn: Elizabeth Mauzy #713-743-1795  
College of Optometry  
Houston, TX 77204-2020  
Market Value of Endowment/Investments: $5,932,391

Houston Alumni Association  
Attn: Steve Mueller # 713-743-8190  
5000 Gulf Freeway, Building 1  
Houston, TX 77204  
Market Value of Endowment/Investments: $9,583,276

Houston Athletics Foundation  
Attn: Les Haulbrook #713-358-2051  
Address: 3100 Cullen, Suite 2004  
Houston, Texas 77204-6004  
Market Value of Endowment/Investments: $3,462,660

UH College of Business Foundation  
Attn: jkrovetka@bauer.edu
Attn: Julie Krovontka 713-743-4633  
334 Melcher Hall  
Houston, TX 77204-6021  
Market Value of Endowment/Investments: $48,807,449

UH Foundation  
Attn: Joanne Kelley #713-622-6061  
4543 Post Oak Place # 250  
Houston, TX 77027  
Market Value of Endowment/Investments: $151,140,219

UH Law Foundation  
Attn: Helen Wang #713-743-3742  
100 Law Center  
Houston, TX 77204-6371  
Market Value of Endowment/Investments: $16,510,514