

**University of Houston System  
Office of the Treasurer**

**Annual Investment Information Disclosure**

**Fiscal Year 2009**

Investment questions should be directed to:  
Raymond S. Bartlett, Treasurer  
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Fax: 713-743-8795  
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Mail Code: TREAS 2009  
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P.O. Box 988  
Houston, Texas 77001-0988

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Fiscal Year 2009**

In accordance with Chapter 2.3 of the State Auditor's Office Report No. 02-058, the following information is submitted:

1. *Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution employs outside investment managers and they have authority to make investment decisions within the investment policy guidelines without obtaining prior approval. The investment managers with whom the institution has funds invested as of fiscal year end 2009 are as follows:*

AllianceBernstein  
Phillips Point-West Tower, 777 South Flagler Drive  
West Palm Beach, FL 33401

APM Hedged Global Commodity Fund LDC  
230 Park Avenue, Ste. 922  
New York, NY 10169

Barlow Partners  
880 Third Avenue  
New York, NY 10022

Berwind Property Group  
770 Township Line Road, Suite 150  
Yardley, PA 19067

Columbia Management  
One Financial Center  
Boston, MA 02111-2621

Commonfund Capital  
15 Old Danbury Road  
Wilton, CT 06897-0812

Crestline Management, L.P.  
201 W. Main, Suite 1900  
Fort Worth, TX 76102

Cougar Investment Fund  
Department of Finance  
C.T. Bauer College of Business  
University of Houston  
Houston, TX 77204-6021

Davidson Kempner Institutional Partners, L.P.  
885 Third Avenue, Suite 3300  
New York, NY 10022

Dodge & Cox  
555 California Street – 4<sup>th</sup> Floor  
San Francisco, CA 94104

Dreyfus  
144 Glenn Curtis Boulevard  
Uniondale, NY 11556-0144

EnCap Energy Capital Fund VII-B, L.P.  
1100 Louisiana Street, Suite 3150  
Houston, Texas 77002

Fayez Sarofim & Co.  
Two Houston Center, Suite 2907  
Houston, TX 77010

Fisher Lynch  
One International Place  
Boston, MA 02110

HarbourVest Partners  
One Financial Center, 44<sup>th</sup> Floor  
Boston, MA 02111

HEDGENERGY Offshore Fund, Ltd.  
909 Fannin, Suite 2650  
Houston, TX 77010

Hoover Investment Management  
650 California Street, 30<sup>th</sup> Floor  
San Francisco, CA 94108

Invesco Aim  
11 Greenway Plaza, Suite 100  
Houston, TX 77046

JPMorgan Asset Management  
522 Fifth Avenue  
New York, NY 10036

Luther King Capital Management  
301 Commerce Street, Suite 1600  
Ft. Worth, TX 76102

Mondrian Investment Group (U.S.), Inc.  
Mondrian Global Fixed Income Fund, L.P.  
General Partner  
1105 N. Market Street  
Suite 1118  
Wilmington, Delaware 19801

Morgan Stanley Investment Management  
One Tower Bridge  
100 Front Street, Suite 1100  
West Conshohocken, PA 19428

Newlin Realty Partners, LP  
44 Nassau Street, Suite 365  
Princeton, NJ 08542

Robeco - Boston Partners  
28 State Street  
Boston, MA 02109

Salient Partners Sustainable Woodlands Fund  
4265 San Felipe, Suite 900  
Houston, TX 77027

Salient Trust Co. (Pinnacle Trust)  
4265 San Felipe, Suite 900  
Houston, TX 77027

Silchester International Investors  
780 Third Avenue, 42<sup>nd</sup> Floor  
New York, NY 10017

Smith Asset Management Group, LP  
100 Crescent Court - Suite 1150  
Dallas, TX 75201

Smith Graham & Company  
6900 Chase Tower  
600 Travis Street  
Houston, TX 77002-3007

Trident Corp.  
Victoria Hall  
11 Victoria Street  
Hamilton HM 11, Bermuda

Wellington Trust Company, NA  
75 State Street  
Boston, MA 02109

Whippoorwill Offshore Distressed Opportunity Fund, Ltd.  
11 Martine Avenue, Suite 1150  
White Plains, NY 10606

William Blair Funds  
222 West Adams Street  
Chicago, IL 60606

York Institutional Partners  
390 Park Avenue, 15<sup>th</sup> Floor  
New York, NY 10022

2. *Does the institution use soft dollar arrangements (a means of paying for services through brokerage commission revenue, rather than through direct payments)? If the answer to this question is yes, the institution must provide a copy of the guidelines that govern the use of soft dollar arrangements. No, the institution does not use soft dollar arrangements.*
  
3. Is the institution associated with an independent endowment or foundation? (If the answer to this question is yes, the institution must provide contact information [name and address] for the individual[s] who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.) Following is the name and contact at each foundation and the market value of its investments as of June 30, 2009:

Association for Community Broadcasting  
Attn: George Anne Smith 713-743-8420  
HoustonPBS  
4343 Elgin  
Houston, Texas 77204-0008  
Market Value of Endowment/Investments: \$370,309

[Gsmith7@uh.edu](mailto:Gsmith7@uh.edu)

Cullen Engineering Research Foundation  
Attn: Gregory Morris 830-598-4094  
P.O. Box 8425  
Horseshoe Bay, TX 78657  
Market Value of Endowment/Investments: Not Applicable

[Gjmorris@tstar.net](mailto:Gjmorris@tstar.net)

Foundation for Education and Research in Vision  
Attn: Elizabeth Mauzy 713-743-1795  
College of Optometry  
Houston, TX 77204-2020  
Market Value of Endowment/Investments: \$1,655,366

[emaury@optometry.uh.edu](mailto:emaury@optometry.uh.edu)

Houston Alumni Association  
Attn: Connie Fox 713-743-9550  
P.O. Box 230345  
Houston, TX 77223-0345  
Market Value of Endowment/Investments: \$4,250,011

[Cifax@uh.edu](mailto:Cifax@uh.edu)

Houston Athletics Foundation  
Attn: Matthew S. Houston 713-821-3065  
3100 Cullen, Suite 2004  
Houston, TX 77204-6004  
Market Value of Endowment/Investments: \$4,598,853

[Mhouston@swst.com](mailto:Mhouston@swst.com)

UH College of Business Foundation  
Attn: Paula Jarrett 713-743-4633  
334 Melcher Hall  
Houston, TX 77204-6021  
Market Value of Endowment/Investments: \$12,439,012

[Pjarrett@uh.edu](mailto:Pjarrett@uh.edu)

UH Foundation  
Attn: Terrylin G. Neale 713-622-6061  
P.O. Box 27405  
Houston, TX 77227-7405  
Market Value of Endowment/Investments: \$66,010,504

[TGNeale@aol.com](mailto:TGNeale@aol.com)

UH Law Foundation  
Attn: Mybao Nguyen 713-743-2112  
Houston, TX 77204-6391  
Market Value of Endowment/Investments: \$13,757,205

[MNguyen@Central.UH.edu](mailto:MNguyen@Central.UH.edu)